



UNIVERSITY OF OREGON

Sponsored Projects Services

Annual Report - Fiscal Year 2017

07/01/2016 – 06/30/2017

Table of Contents

Executive Summary	3
Proposal Submissions	4
Figure 1: Requested Funding by Proposal Submission Type Count FY17.....	4
Figure 2: Proposal Submission by Sponsor Type Count FY17	4
Figure 3: Proposal Submission Funding Requests by Sponsor Type Amount FY17	5
Figure 4: Proposal Submission Funding Requests by Amount- Federal Detail FY17	5
Figure 5: Proposal Submissions Counts Across Fiscal Years.....	6
Awards Received.....	7
Figure 6: Total Funds Awarded by Sponsor Type	7
Figure 7: Federal Funding Amounts by Agency.....	7
Table 1: Total Funds Awarded by Year by Sponsor Type.....	8
Figure 8: Total Funds Awarded by Year by Sponsor Type (graph).....	8
Figure 9: Total Sponsored Funding Awarded (ARRA Detail)	8
Figure 10: Sponsored Awards Received by Activity Type FY17	9
Figure 11: Total Awards Received by Year by Activity Type FY17	9
Figure 12: FY17 Awards Received by PI's Home College	10
Table 2: Awards Received by PI Home Organization FY17	10
Figure 13: Awards Received by Administered Unit FY17.....	11
Table 3: Funding Administered by Research Center/Institute FY17.....	11
Sponsored Project Expenditures	12
Figure 14: Sponsored Project Expenditures by Year by Sponsor Type	12
Figure 15: Sponsored Project Expenditures Across Fiscal Years ARRA Detail.....	12
Figure 16: Total Direct Sponsored Expenditures by Cost Category FY17.....	13
Figure 17: Total Project Expenditures by Activity Type FY17	13
Figure 18: Total Project Expenditures by Year across Activity Type	13
Facilities & Administrative Cost Analysis	14
Figure 19: Facilities & Administrative Cost Recovery Totals Across Fiscal Years.....	14
Figure 20: Facilities & Administrative Recovery Allocation FY17.....	14

Executive Summary

Proposals

In FY17, 973 proposals were submitted requesting a total of \$163M in first-year funding. Of the 973 proposals, 72.5% of the submissions were for new funding, and 66% involved federal or federal flow-through funding.

Awards

The University of Oregon (UO) was awarded \$114.9M from external funding sources in grants, contracts and other competitive awards for the fiscal year 2016-17 (FY17). This comprised 560 awards received by 302 Principal Investigators (PIs). Direct federal awards in support of UO sponsored activities totaled \$76.1M and the addition of federal flow-through awards brought the total federal award support to \$93.6M, or 81.4% of the total funding awarded in FY17. In FY17 funding from non-federal sources like industry, foundations and associations increased by 15.7% from FY16.

Expenditures

Expenditures on 992 active grants and contracts during FY17 totaled \$111.1M, including both direct costs and facilities and administration cost expenditures. FY17 sponsored expenditures increased by 3.6% (\$3.8M) compared to FY16. Expenditure totals cannot be directly compared to award totals for the same fiscal year, because grant periods do not correspond strictly to our fiscal year. Organized Research accounted for \$76.1M (68.5%) of the total sponsored expenditures in FY17, while Instruction and Other sponsored activities accounted for the remainder.

Facilities and Administrative Costs

Revenue from the federal government and other agencies to recover already expended university Facilities and Administrative (F&A) costs totaled \$22M in FY17. Although the federally negotiated F&A rate budgeted for on-campus organized research activities increased to 46.5% starting in FY17 (45% since FY13), some activities carry a lower F&A rate and some agencies do not allow the federally negotiated rate to be charged to recover the revenue against already expended university costs. The effective F&A recovery rate was 25.0% an increase compared to FY16 (23.6%).

F&A costs recovered in FY17, together with some ICC fund reserves, were allocated for FY18 expenditures in many areas. These allocations yielded the following distribution:

- Faculty Support* 42.6%
- Unit Administration (formulaic return based on sponsored activity) 22.0%
- Central Research Administration 22.0%
- Utilities 13.4%

**Faculty Support includes capital construction expenditures, start-up costs, retention costs, core research facilities, support for the library and support of programs and activities related to research, scholarship and creative inquiry.*

Proposal Submissions

Requested Funding Total for Submitted Proposals: **\$162,989,588**

Count of Submitted Proposals: **973**

Figure 1: Requested Funding by Proposal Submission Type Count FY17

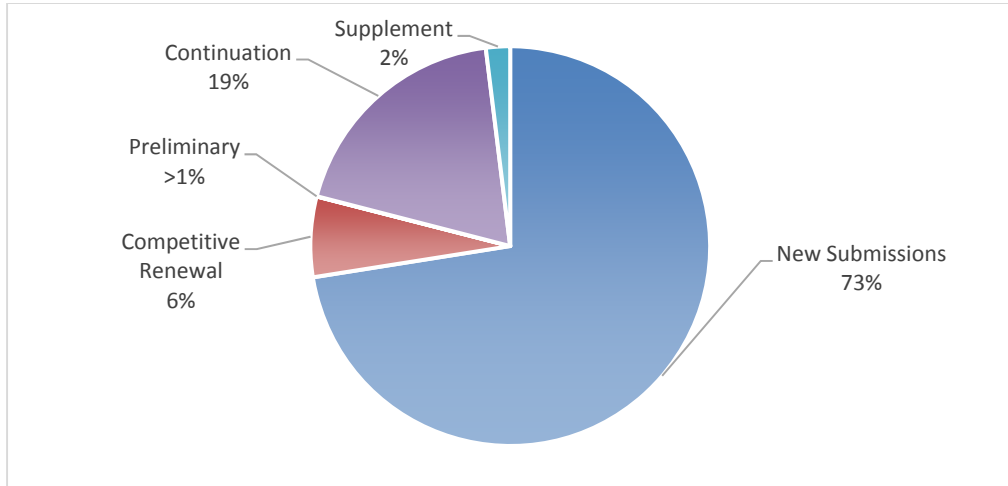


Figure 1 shows FY16 requested funding broken down by proposal submission type.

Figure 2: Proposal Submission by Sponsor Type Count FY17

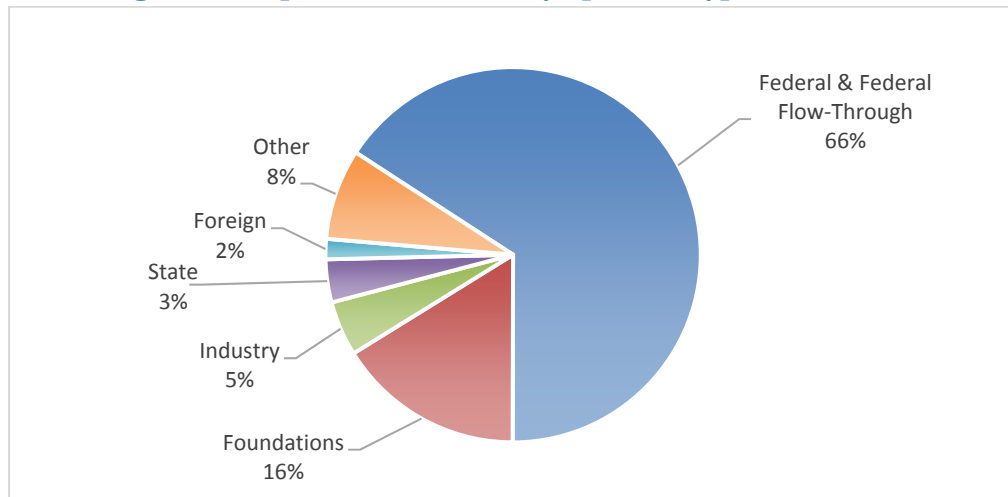


Figure 2 shows the percentage by number of proposals submitted by sponsor type.

Figure 3: Proposal Submission Funding Requests by Sponsor Type Amount FY17

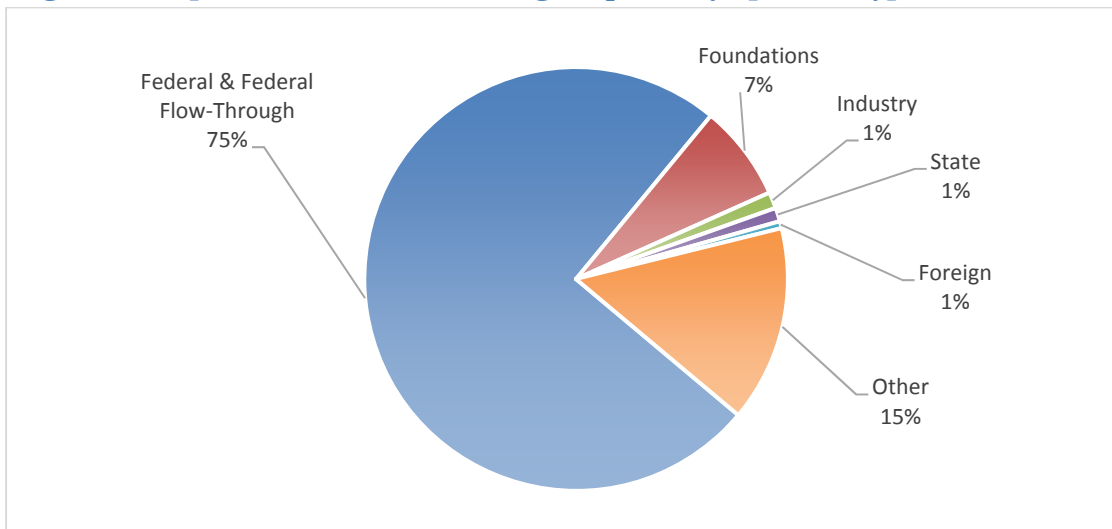


Figure 3 shows the proposal funding requests by sponsor type based on amount requested.

Figure 4: Proposal Submission Funding Requests by Amount- Federal Detail FY17

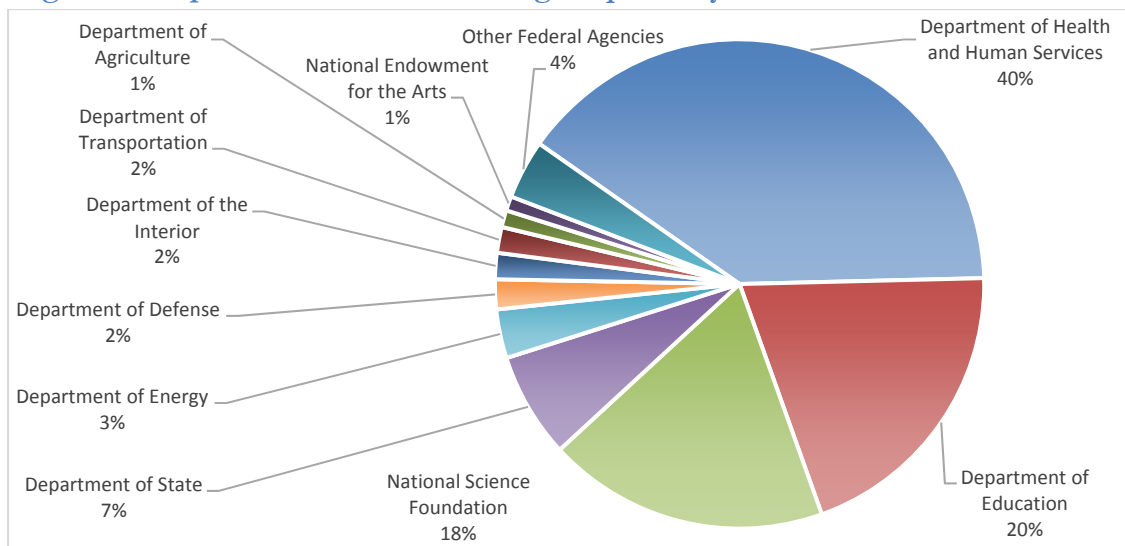


Figure 4 provides additional detail on funding requests by federal agency based on amount.

Note: For multiyear submissions, only the funding requested for the first year of support is included.

Proposal Submissions

Figure 5: Proposal Submissions Counts Across Fiscal Years

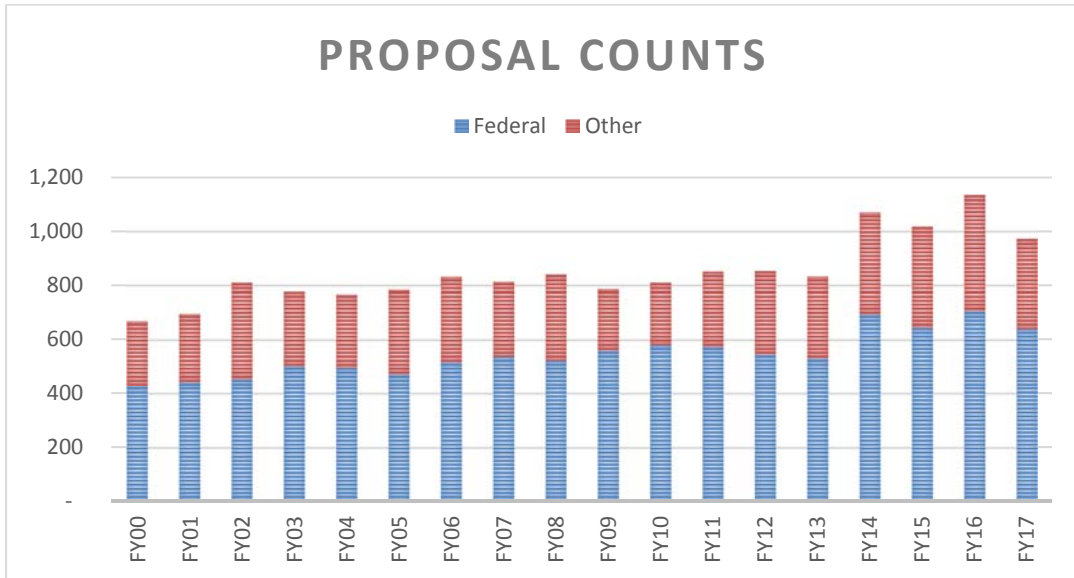


Figure 5 provides a multi-year summary of the number of UO proposal submissions.

Awards Received

Total FY17 Funding Awarded: **\$114,859,116**

Figure 6: Total Funds Awarded by Sponsor Type

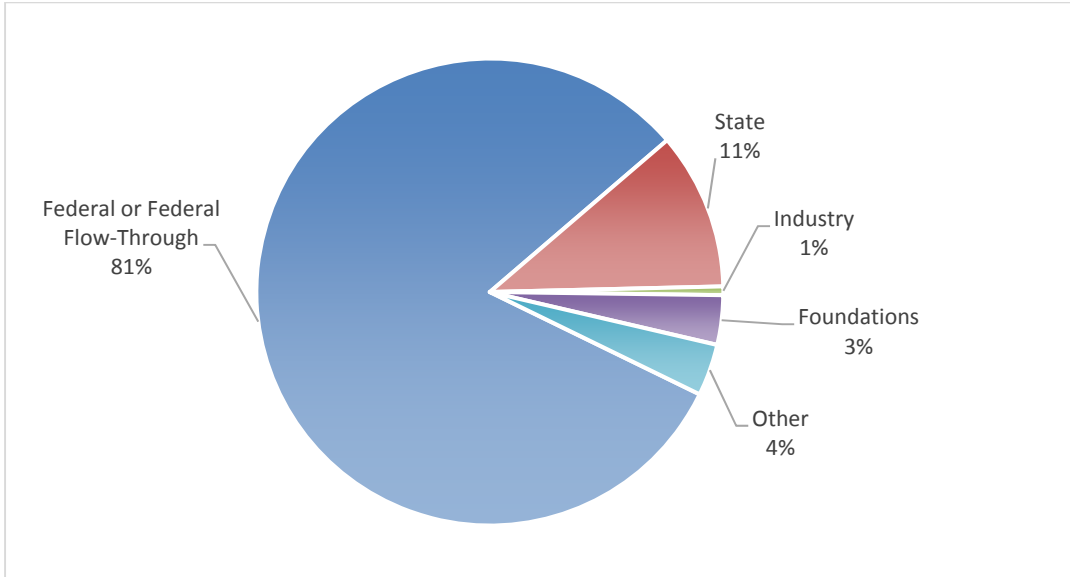


Figure 6 shows total funds awarded to UO in FY16 by sponsor type. Of the 81% federal plus federal flow-through, 66% was direct federal funding and 15% was federal flow-through

Figure 7: Federal Funding Amounts by Agency

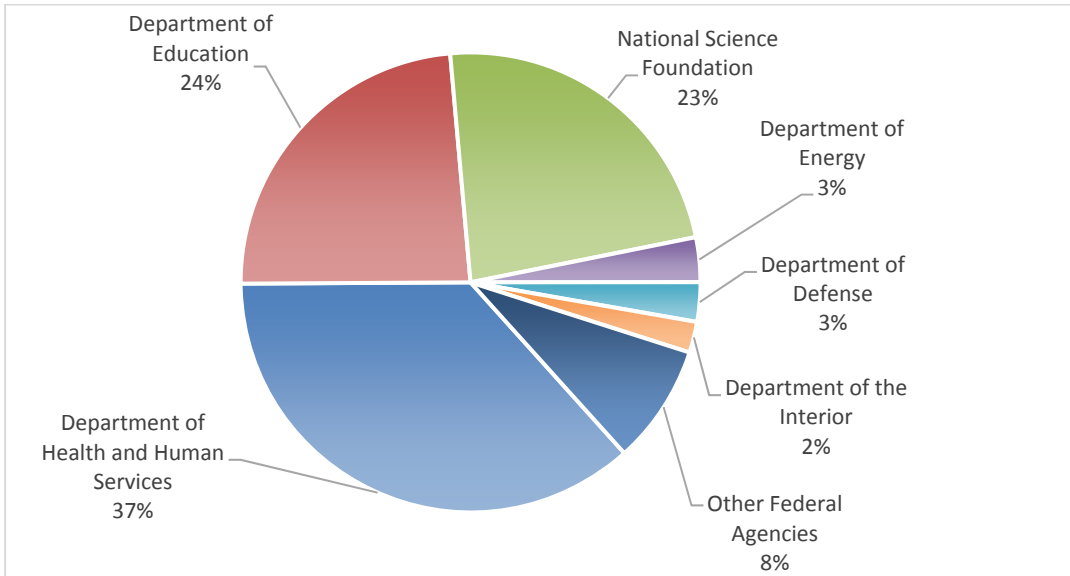


Figure 7 shows the detailed breakdown of federal funding, including federal flow-through funds.

Awards Received

Table 1: Total Funds Awarded by Year by Sponsor Type

<i>Fiscal Year</i>	<i>Total Funding Received</i>	<i>Federal/ Flow-Through</i>	<i>State</i>	<i>Industry</i>	<i>Foundation/ Association</i>	<i>Other</i>
FY08	\$ 115,285,648	101,060,427	3,344,680	902,609	5,474,905	4,503,027
FY09	\$ 100,516,110	87,968,252	2,254,021	808,177	6,100,713	3,384,947
FY10	\$ 135,588,604	125,382,063	2,526,126	645,658	4,532,876	2,501,881
FY11	\$ 110,161,835	98,476,259	1,294,247	789,017	7,038,469	2,563,844
FY12	\$ 110,631,365	96,985,189	1,916,272	4,025,266	5,030,442	2,674,195
FY13	\$ 97,622,652	86,446,107	1,106,013	1,765,868	3,716,527	4,588,137
FY14	\$ 110,281,412	97,337,525	2,299,615	2,208,409	4,023,606	4,412,256
FY15	\$ 114,634,756	103,452,321	3,986,892	578,045	3,632,107	2,985,392
FY16	\$ 116,950,612	98,585,848	2,387,054	445,822	10,954,341	4,577,547
FY17	\$ 114,859,116	93,616,130	12,509,968	680,095	3,940,101	4,112,823

Figure 8: Total Funds Awarded by Year by Sponsor Type (graph)

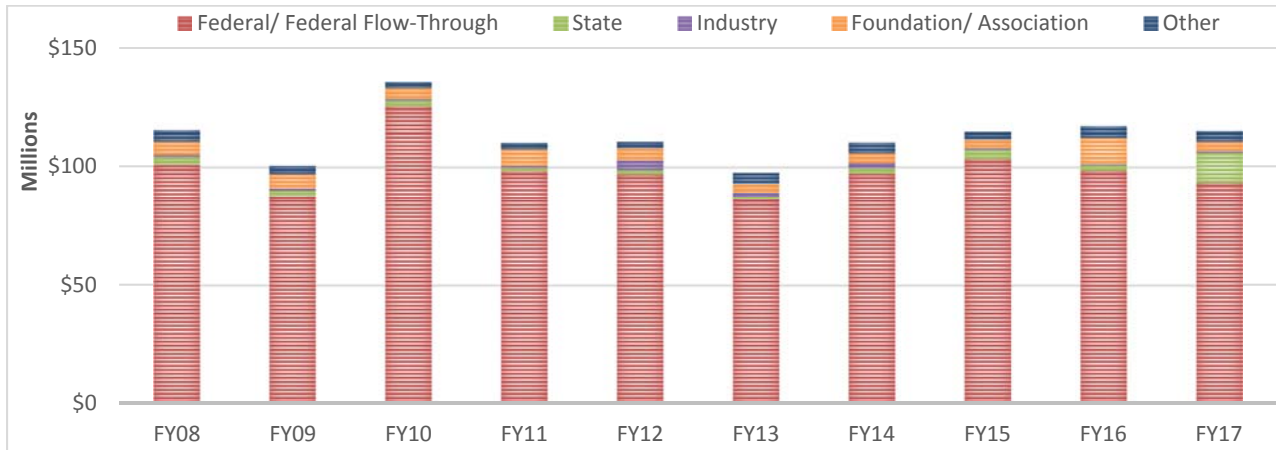


Figure 9: Total Sponsored Funding Awarded (ARRA Detail)

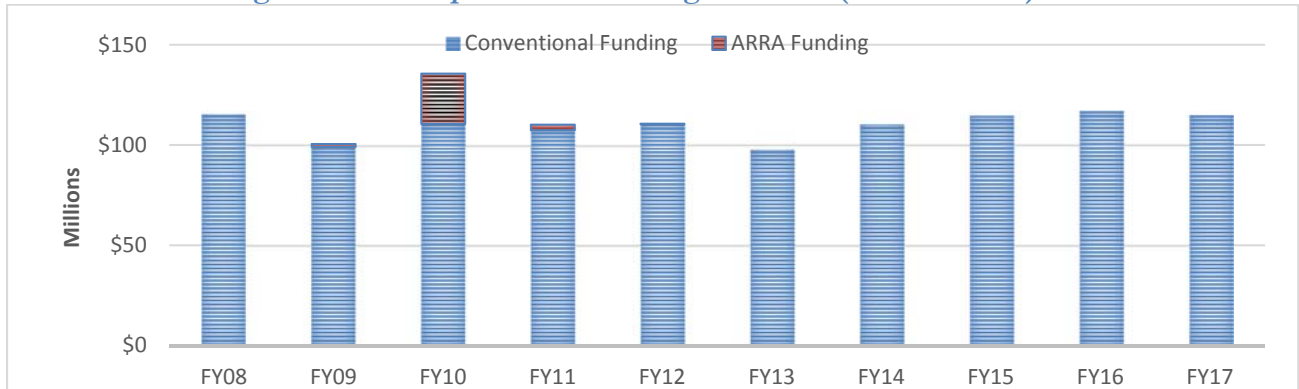


Table 1 (top) and *Figure 8* (middle) provide a multi-year summary of sponsored support awarded to UO by Sponsor Type. *Figure 9* (above) illustrates the multi-year summary, highlighting the impact of ARRA funding (active FY09-FY14) on awarded funding.

Awards Received

Figure 10: Sponsored Awards Received by Activity Type FY17

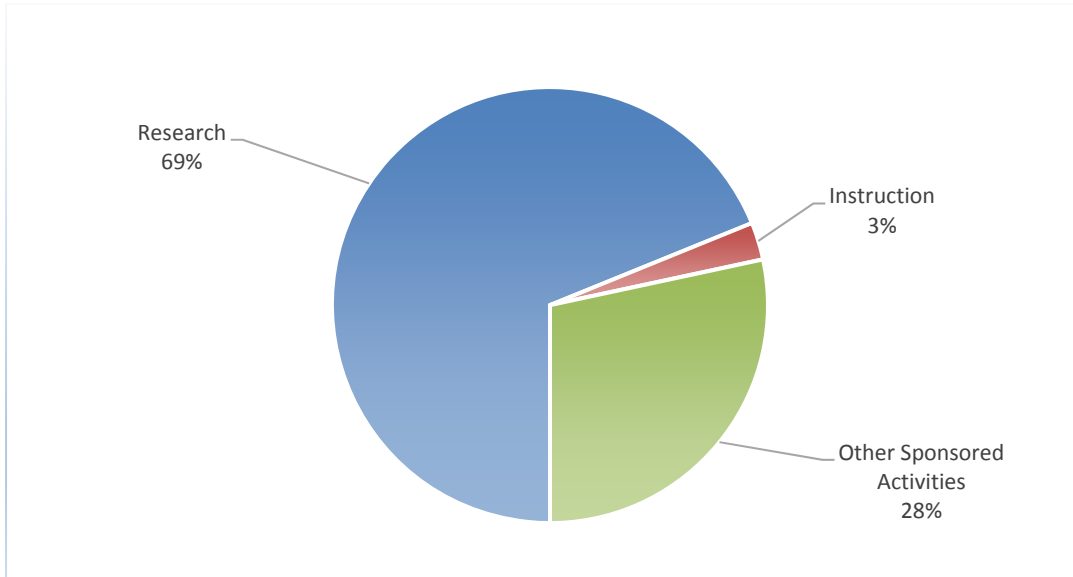


Figure 10 shows the percentage of total funds awarded in FY17 by activity type.

Figure 11: Total Awards Received by Year by Activity Type FY17

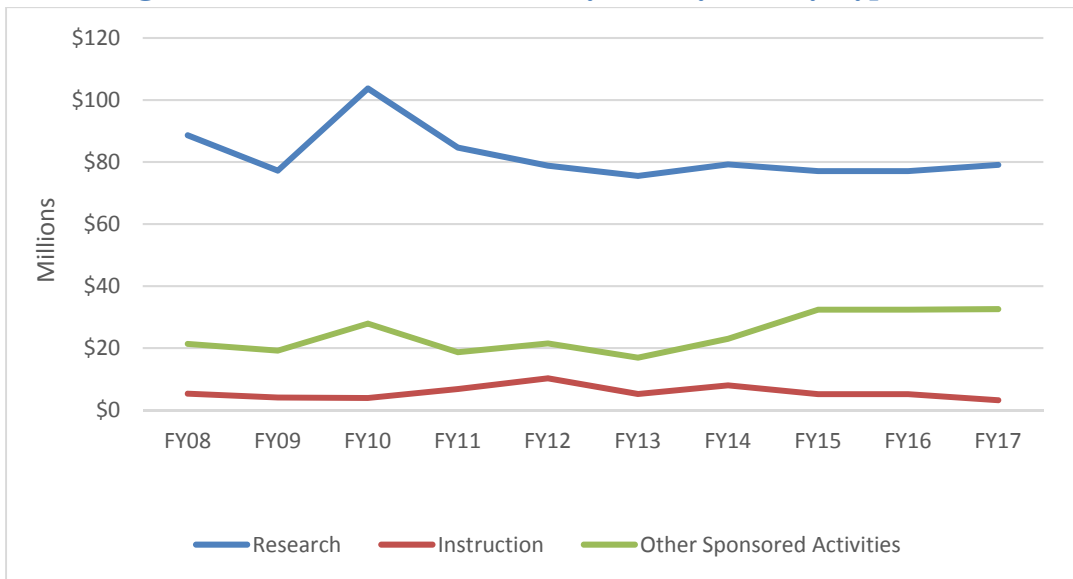


Figure 11 (above) provides a multi-year illustration of incoming awards by UO Activity Type: Research, Instruction, and Other Sponsored Activities.

Awards Received

Figure 12: FY17 Awards Received by PI's Home College

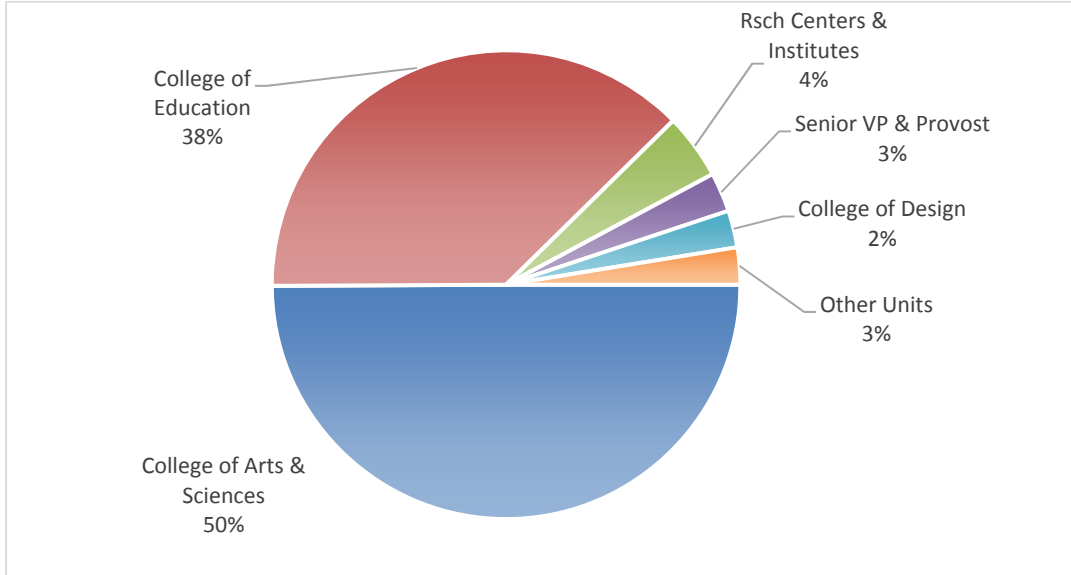


Figure 12 highlights awarded funding in FY17, a majority based in the UO's submitting colleges and schools, based awarded amounts.

Table 2: Awards Received by PI Home Organization FY17

Arts & Sciences, College of		Education, College of		Rsch Centers & Institutes	
AEI American English Institute	468,026	BRT Behavioral Rsch & Teaching	1,211,505	Rsch Ctr Brain Injry RschTrng CBIRT	1,026,283
Anthropology	286,997	CATE Cntr Advanced Tech in Educ	160,831	Rsch Inst of Molecular Biology	391,079
Biology	22,369,974	Center for Equity Promotion	1,122,657	Rsch Institute of Neuroscience	474,950
Chemistry	8,405,143	CIS Oregon	705,000	Rsch ISE Inst Sustainable Environmt	1,596,930
CIS Computer & Information Sci	3,394,552	COE General Operations	35,150	Rsch Material Science Institute	148,541
Classics	28,000	COE Office of the Dean	6,600	Rsch Neuroinformatics Center	194,731
Earth Sciences	3,369,941	Communication Disorders & Sci	212,000	Rsch NW Indian Language Institute	264,750
East Asian Language Literature	1,049,528	CORE Ctr At Oregon For Res In Ed	726,725	Rsch Oregon Inst of Marine Biology	572,271
Economics	364,333	Counseling Psychology	2,417,399	Rsch Prevention Science Institute	539,640
English	206,000	Ctr for Prev of Abuse & Neglect	100,000	Total	5,209,176
Environmental Studies	373,938	Ctr on Teaching & Learning	1,946,563	Rsch Core Services	
Ethnic Studies	50,000	DESTNY Disability, EI, SSET	3,260,521	Rsch Ctr Adv Mat Charact in OR	
Geography	808,724	EC Cares	11,472,921	Total	-
History	542,250	ECS Educ and Community Supports	645,463	Senior VP & Provost	
Human Physiology HPHY	1,736,623	Education Studies	2,067,235	Acad Aff Administration	3,000
International Studies	100,009	Family and Human Services	2,547,065	Acad Aff Bach Festival	20,034
Linguistics	1,741,322	GE Assoc Dean Global Ed Admin	35,000	Acad Aff Labor Ed & Research Ctr	128,946
Mathematics	790,844	intoCareers	45,000	Acad Aff Mus of Nat & Cult Hist	1,720,127
Physics	4,372,516	IVDB Inst Violence & Dstrctv Bhv	237,740	UGS Teaching & Learning Center	778,568
Political Science	240,978	Methodology, Policy & Leadership	1,771,757	UGS Undergrad Studies Admin	289,911
Psychology	6,466,541	School Psychology	3,085,642	UR JSMA	175,542
Romance Languages	25,200	Special Education	9,510,464	Total	3,116,128
Sociology	137,825	Total	43,323,238	Student Services & Enrollment Mgt	
Women's Studies	15,000	Graduate School		Academic Extension	187,803
Total	57,344,265	Graduate School	598,000	Total	187,803
Business, College of		Total	598,000	VP Finance & Administration	
Business, College of	1,029,931	Honors College		Safety and Risk Services	3,403
Total	1,029,931	HC Operations	266,667	Total	3,403
Campus Planning and Facilities Mgmt		Total	266,667	VP Student Life	
Campus Planning and Facilities Mgmt	13,000	Journalism & Communicatn, School of		Career Center	18,746
Total	13,000	Journalism & Communicatn, School of	351,000	EMU Erb Memorial Union	5,000
College of Design		Total	351,000	Total	23,746
Architecture & Interior Arch	656,117	Law, School of			
Community Service Center	1,399,052	Law, School of	402,196	Grand Total	114,859,116
Historic Preservation	160,194	Total	402,196		
Landscape Architecture	352,935	Library			
Planning, Public Policy, & Mgt	302,876	Library	63,934		
Product Design	55,456	Total	63,934		
Total	2,926,629				

Figure 13: Awards Received by Administered Unit FY17

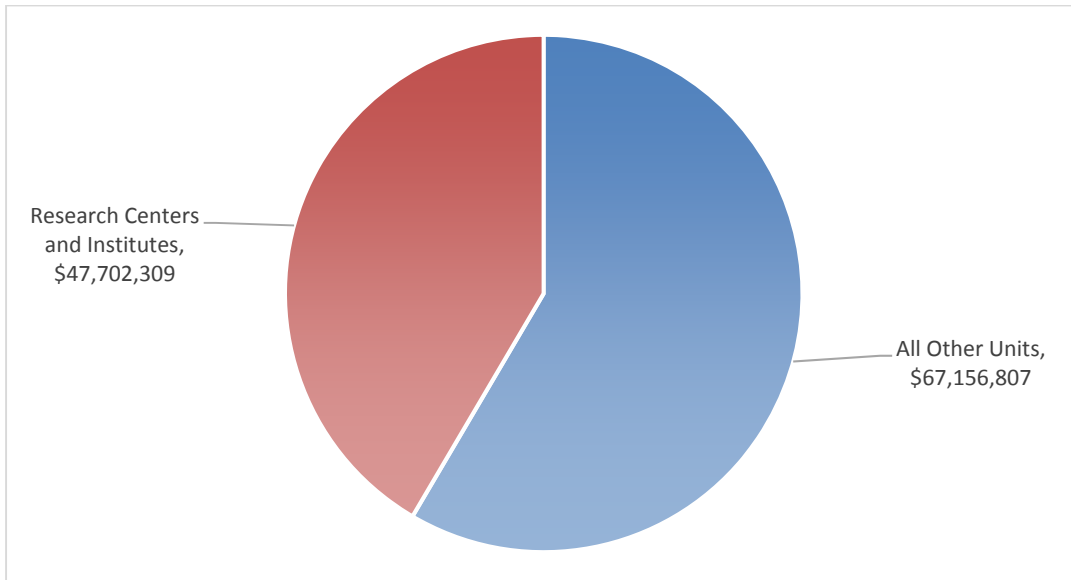


Figure 13 shows FY17 awards by the University's administering unit or college. Less than half (42%) of the funding was received by the Research Centers and Institutes.

Table 3: Funding Administered by Research Center/Institute FY17

Research Center or Institute	FY17 Awards
Rsch Comm Adv of Women Chemists	507,500
Rsch Community Service Center	173,000
Rsch Ctr Brain Injury RschTrng CBIRT	1,026,283
Rsch Ctr High Energy Physics	292,319
Rsch Inst Ecology & Evolution	2,258,012
Rsch Inst of Molecular Biology	9,354,125
Rsch Inst of Theoretical Science	106,000
Rsch Institute of Neuroscience	13,642,383
Rsch ISE Inst Sustainable Environmt	1,803,607
Rsch Material Science Institute	6,061,264
Rsch Neuroinformatics Center	693,155
Rsch NW Indian Language Institute	264,750
Rsch Oregon Center for Optics	2,954,429
Rsch Oregon Inst of Marine Biology	1,435,337
Rsch Prevention Science Institute	7,130,144
Grand Total	47,702,309

Table 3 provides a breakdown of sponsored support awarded to the Research Centers and Institutes, a subset of the total funding awarded to UO.

Sponsored Project Expenditures

Figure 14: Sponsored Project Expenditures by Year by Sponsor Type

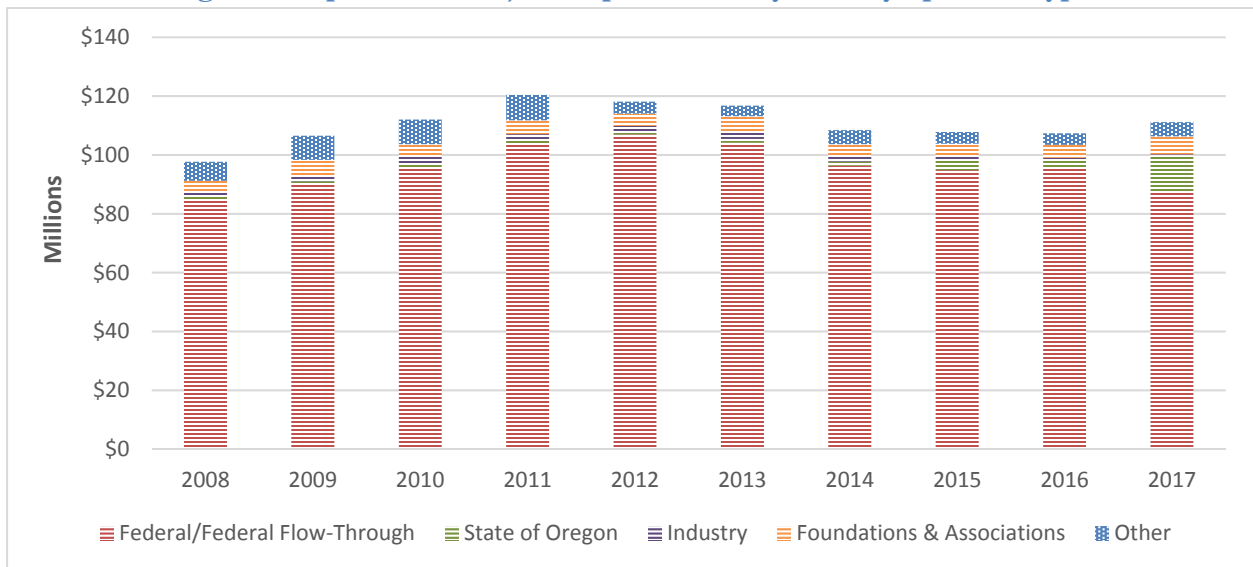


Figure 15: Sponsored Project Expenditures Across Fiscal Years ARRA Detail



Figures 14 and 15 provide multi-year perspectives of total sponsored project expenditure levels and illustrate the steady rate of sponsored spending over the last 10 years.

Figure 16: Total Direct Sponsored Expenditures by Cost Category FY17

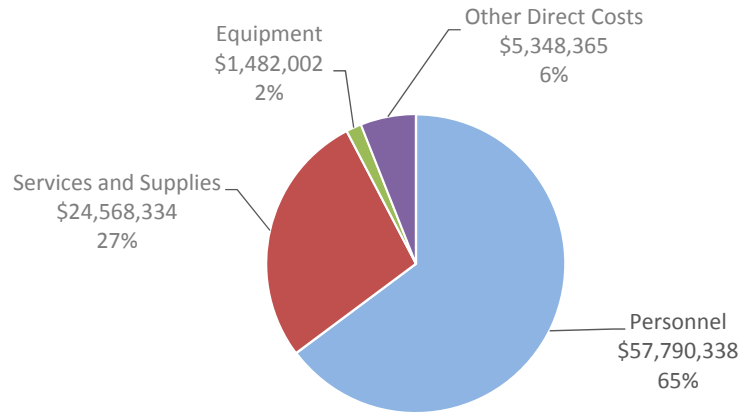


Figure 16 shows the breakdown of FY17 sponsored project total direct expenditures by Cost Category or Expenditure. This does not include indirect expenditures.

Figure 17: Total Project Expenditures by Activity Type FY17

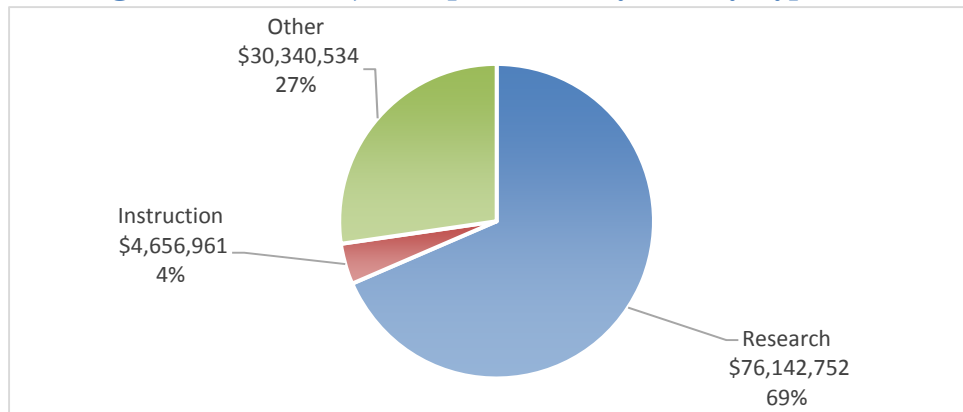


Figure 18: Total Project Expenditures by Year across Activity Type

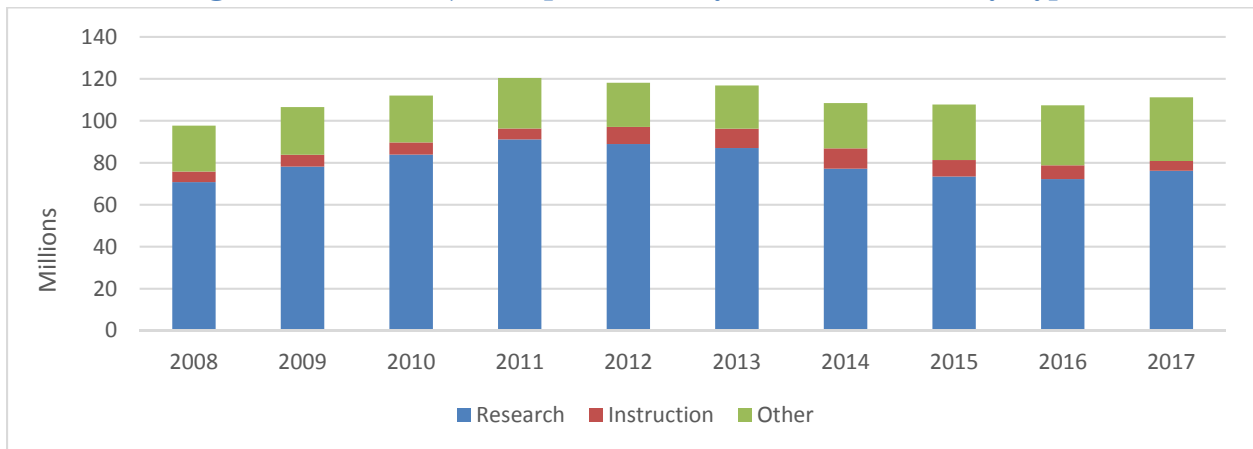


Figure 17 and 18 illustrate the breakdown of activity type for FY17 and for the last ten years.

Facilities & Administrative Cost Analysis

Figure 19: Facilities & Administrative Cost Recovery Totals Across Fiscal Years

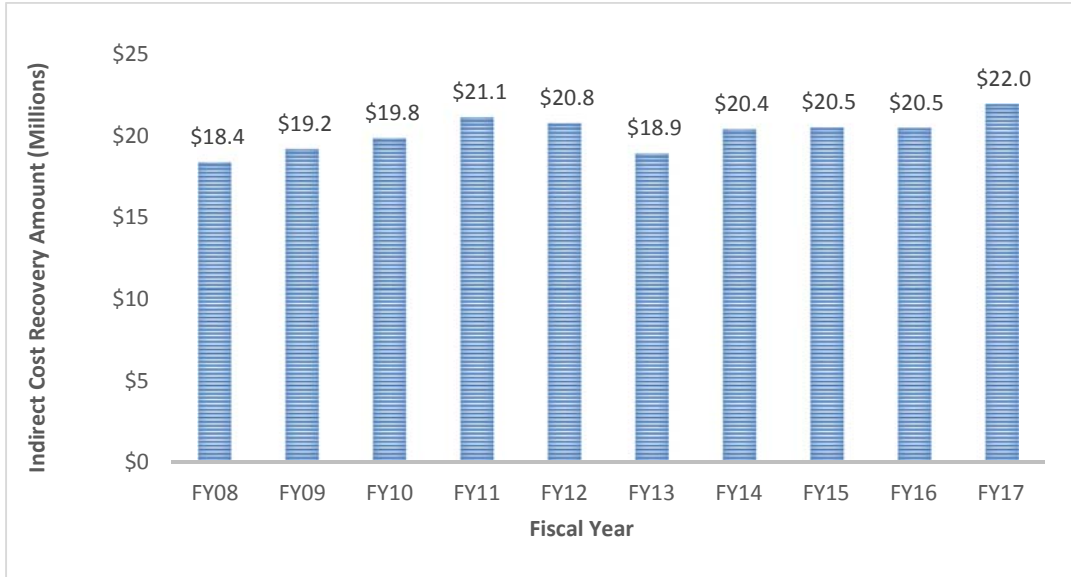


Figure 19 provides a ten-year perspective of incoming funds to the University through Facilities & Administrative Cost recovery on sponsored projects.

Figure 20: Facilities & Administrative Recovery Allocation FY17

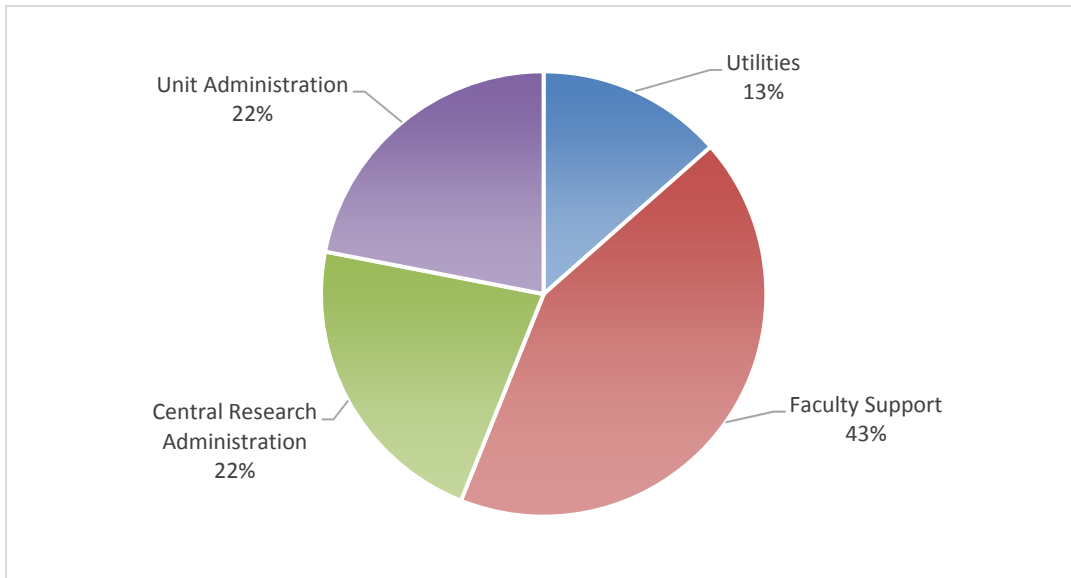


Figure 20 illustrates how F&A collected in FY17 was allocated in the FY18 beginning budget process.