Sponsored Projects Services
Annual Report - Fiscal Year 2016
07/01/2015 – 06/30/2016
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Executive Summary

Proposals
In FY16, 1136 proposals were submitted requesting a total $157.1M in first-year funding, a 11% increase over FY15 count (1018). Of the 1136 proposals submitted, approximately 85% (965) were for new funding for the University and 62% (704) were for federal/federal flow through sponsors.

Awards
The University of Oregon (UO) was awarded $116.9M from external funding sources in grants, contracts and other awards for FY16, a slight increase compared to FY15 ($114.6M). Of the $116.9M received, $74.1M was in direct federal funding; $24.5 M in federal flow-through funding; and $18.3 M in non-federal funding. This included 536 new awards received by 270 Principal Investigators (PIs) for a total of 989 active awards amongst 373 PIs during FY16.

Expenditures
Expenditures on 989 active grants, contracts, and other awards during FY16 totaled $106.5M, including both direct costs and facilities and administrative cost. FY16 sponsored expenditures decreased by 2% ($2.5M) compared to FY15 ($109M). Organized Research accounted for 67% of the total sponsored expenditures in FY16, while Sponsored Instruction accounted for 6% and Other Sponsored activities accounted for 27%. Since grant periods do not generally correspond to our fiscal year, expenditure totals cannot be directly correlated to award totals for the same fiscal year.

Facilities and Administrative Costs
Revenue from the federal government and other agencies to recover already expended university Facilities and Administrative (F&A) costs totaled $20.5M in FY16. Although the federally negotiated F&A rate budgeted for on-campus organized research activities increased to 46.5% starting FY17, several sponsors or programs either cap or disallow F&A preventing the university from fully recovering the revenue against already expended university costs. The effective F&A recovery rate was 23.8% an increase compared to FY15 (23.1%).

F&A costs recovered in FY16, together with some ICC fund reserves, were allocated for FY17 expenditures in many areas. These allocations yielded the following distribution:

- Utilities 13.5%
- Faculty Support* 42.56%
- Central Research Administration 21.99%
- Unit Administration (formulaic return based on sponsored activity) 21.95%

*Faculty Support includes capital construction expenditures, start-up costs, retention costs, core research facilities, support for the library and support of programs and activities related to research, scholarship and creative inquiry.
Proposals

Requested Funding Total for Submitted Proposals: $157,099,060

Count of Submitted Proposals: 1136

**Figure 1: Requested Funding by Proposal Submission Type Count FY16**

Figure 1 shows FY16 requested funding broken down by proposal submission type.

**Figure 2: Proposal Submission by Sponsor Type Count FY16**

Figure 2 shows the percentage by number of proposals submitted by sponsor type.
Proposals

Figure 3: Proposal Submission Funding Requests by Sponsor Type Amount FY16

Figure 3 shows the proposal funding requests by sponsor type based on amount requested.

Figure 4: Proposal Submission Funding Requests by Amount- Federal Detail FY16

Figure 4 provides additional detail on funding requests by federal agency based on amount.

*Note: For multiyear submissions, only the funding requested for the first year of support is included.*
Figure 5 provides a multi-year summary of the number of UO proposal submissions.
**Awards**

Total FY16 Funding Awarded: **$116,950,612**

**Figure 6: Total Funds Awarded by Sponsor Type**

![Pie chart showing total funds awarded by sponsor type. Federal/Federal Flow-Through accounts for 84% of the total. Other categories include State (2%), Industry (1%), Foundations (9%), Other (4%).](chart)

Figure 6 shows total funds awarded to UO in FY16 by sponsor type. Of the 84% federal/federal flow-through, 63% was direct federal funding and 21% was federal flow-through.

**Figure 7: Federal Funding Amounts by Agency**

![Pie chart showing detailed breakdown of federal funding by agency. Dept. of Education accounts for 36%, Dept. of Health & Human Services for 34%, National Science Foundation for 17%, DOD for 2%, DOE for 6%, and Other Federal Agencies for 5%.](chart)

Figure 7 shows the detailed breakdown of federal funding, including federal flow-through funds.
Table 1: Total Funds Awarded By Year by Sponsor Type

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Total Funding Received</th>
<th>Federal/Flow-Through</th>
<th>State</th>
<th>Industry</th>
<th>Foundation/Association</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY07</td>
<td>$ 85,293,657</td>
<td>77,689,063</td>
<td>1,561,807</td>
<td>746,644</td>
<td>2,668,878</td>
<td>2,627,265</td>
</tr>
<tr>
<td>FY08</td>
<td>$ 115,285,648</td>
<td>101,060,427</td>
<td>3,344,680</td>
<td>902,609</td>
<td>5,474,905</td>
<td>4,503,027</td>
</tr>
<tr>
<td>FY09</td>
<td>$ 100,516,110</td>
<td>87,968,252</td>
<td>2,294,021</td>
<td>808,177</td>
<td>6,100,713</td>
<td>3,384,947</td>
</tr>
<tr>
<td>FY10</td>
<td>$ 135,588,604</td>
<td>125,382,063</td>
<td>2,526,126</td>
<td>645,658</td>
<td>4,532,876</td>
<td>2,501,881</td>
</tr>
<tr>
<td>FY11</td>
<td>$ 110,161,835</td>
<td>98,476,259</td>
<td>1,294,247</td>
<td>789,017</td>
<td>7,038,469</td>
<td>2,563,844</td>
</tr>
<tr>
<td>FY12</td>
<td>$ 110,631,365</td>
<td>96,985,189</td>
<td>1,916,272</td>
<td>4,025,266</td>
<td>5,030,442</td>
<td>2,674,195</td>
</tr>
<tr>
<td>FY13</td>
<td>$ 97,622,652</td>
<td>86,446,107</td>
<td>1,106,013</td>
<td>1,765,888</td>
<td>3,716,527</td>
<td>4,588,137</td>
</tr>
<tr>
<td>FY14</td>
<td>$ 110,281,412</td>
<td>97,337,525</td>
<td>2,299,615</td>
<td>2,208,409</td>
<td>4,023,606</td>
<td>4,412,296</td>
</tr>
<tr>
<td>FY15</td>
<td>$ 114,634,756</td>
<td>103,452,321</td>
<td>3,986,892</td>
<td>578,045</td>
<td>3,632,107</td>
<td>2,988,392</td>
</tr>
<tr>
<td>FY16</td>
<td>$ 116,950,612</td>
<td>98,585,848</td>
<td>2,387,054</td>
<td>445,822</td>
<td>10,954,341</td>
<td>4,577,547</td>
</tr>
</tbody>
</table>

Figure 8: Total Funds Awarded by Year by Sponsor Type (graph)

Figure 9: Total Sponsored Funding Awarded (ARRA Detail)

Table 1 (top) and Figure 8 (middle) provide a multi-year summary of sponsored support awarded to UO by Sponsor Type. Figure 9 (above) illustrates the multi-year summary, highlighting the impact of ARRA funding (active FY09-FY14) on awarded funding.
Awards

Figure 10: Sponsored Awards Received by Activity Type FY16

Figure 10 shows the percentage of total funds awarded in FY16 by activity type.

Figure 11: Total Awards Received By Year by Activity Type FY16

Figure 11 provides a multi-year illustration of incoming awards by UO Activity Type: Research, Instruction, and Other Sponsored Activities.
Figure 12 highlights awarded funding in FY16, a majority based in the UO’s submitting colleges and schools, based awarded amounts.
**Awards**

**Figure 13: Awards Received by Administered Unit FY16**

Figure 13 shows FY16 awards by the University's administering unit or college. Just over half (51%) of the funding was received by the Research Centers and Institutes.

<table>
<thead>
<tr>
<th>Research Center or Institute</th>
<th>FY16 Awards</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rsch Comm Adv of Women Chemists</td>
<td>421,307</td>
</tr>
<tr>
<td>Rsch Community Service Center</td>
<td>323,000</td>
</tr>
<tr>
<td>Rsch Ctr Brain Injury RschTrg CBIRT</td>
<td>943,937</td>
</tr>
<tr>
<td>Rsch Ctr High Energy Physics</td>
<td>1,666,666</td>
</tr>
<tr>
<td>Rsch Ctr on Teaching &amp; Learning</td>
<td>6,581,970</td>
</tr>
<tr>
<td>Rsch Ctr Study of Women in Society</td>
<td>39,244</td>
</tr>
<tr>
<td>Rsch Environmentl Science Institute</td>
<td>316,794</td>
</tr>
<tr>
<td>Rsch Inst Ecology &amp; Evolution</td>
<td>3,703,567</td>
</tr>
<tr>
<td>Rsch Inst of Molecular Biology</td>
<td>8,853,397</td>
</tr>
<tr>
<td>Rsch Inst of Theoretical Science</td>
<td>100,000</td>
</tr>
<tr>
<td>Rsch Institute of Neuroscience</td>
<td>13,156,739</td>
</tr>
<tr>
<td>Rsch ISE Inst Sustainable Environmt</td>
<td>706,477</td>
</tr>
<tr>
<td>Rsch Material Science Institute</td>
<td>8,680,247</td>
</tr>
<tr>
<td>Rsch Neuroinformatics Center</td>
<td>2,349,038</td>
</tr>
<tr>
<td>Rsch NW Indian Language Institute</td>
<td>330,417</td>
</tr>
<tr>
<td>Rsch Oregon Center for Optics</td>
<td>4,105,403</td>
</tr>
<tr>
<td>Rsch Oregon Inst of Marine Biology</td>
<td>308,607</td>
</tr>
<tr>
<td>Rsch Prevention Science Institute</td>
<td>7,280,722</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>59,867,532</strong></td>
</tr>
</tbody>
</table>

Table 3 provides a breakdown of sponsored support awarded to the Research Centers and Institutes, a subset of the total funding awarded to UO.
Expenditures

Figure 14: Sponsored Project Expenditures Across Fiscal Years ARRA Detail

Figure 14 (above) provide a multi year perspective of total sponsored project expenditure levels and illustrate the steady rate of sponsored spending over the last 10 years.

Figure 15: Total Direct Sponsored Expenditures by Cost Category FY16

Figure 15 shows the breakdown of FY16 sponsored project total direct expenditures by Cost Category or Expenditure. This does not include indirect expenditures.
Figure 16: Total Project Expenditures by Activity Type FY16

Figure 16 shows sponsored project total direct expenditures for FY16 across activity type.
Facilities & Administrative Cost

Figure 17: Facilities & Administrative Cost Recovery Totals Across Fiscal Years

Figure 17 provides a ten-year perspective of incoming funds to the University through Facilities & Administrative Cost recovery on sponsored projects.

Figure 18: Facilities & Administrative Recovery Allocation FY16

Figure 18 illustrates how F&A collected in FY16 was allocated in the FY17 beginning budget process.